



*IPW*  
PATENT

Case Docket No. UNIU76.003AUS  
Date: May 19, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Shoichi NAKANO

Appl. No. : 10/776,158

Filed : February 11, 2004

For : TEMPERATURE ADJUSTING  
DEVICE FOR AN LED LIGHT  
SOURCE

Examiner : Unknown

Group Art Unit : 2875

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

May 19, 2004

(Date)

Katsuhiro Arai, Reg. No. 43,315

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with four (4) references.
- (X) A copy of the communication from the European Patent Office.
- (X) The Commissioner is hereby authorized to charge any fees which may be required to Account No. 11-1410.
- (X) Return prepaid postcard.

Katsuhiro Arai  
Registration No. 43,315  
Agent of Record  
Customer No. 20,995  
(949) 760-0404

**INFORMATION DISCLOSURE STATEMENT**

Applicant	:	Shoichi NAKANO
App. No.	:	10/776,158
Filed	:	February 11, 2004
For	:	TEMPERATURE ADJUSTING DEVICE FOR AN LED LIGHT SOURCE
Examiner	:	Unknown
Group Art Unit	:	2875

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing four (4) references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: May 19, 2004

By: 

Katsuhiko Arai  
Registration No. 43,315  
Agent of Record  
Customer No. 20,995  
(949) 760-0404

FORM PTO-1449	U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. UNIU76.003AUS	APPLICATION NO. 10/776,158
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Shoichi NAKANO	
24 2004 (USE SEVERAL SHEETS IF NECESSARY)		FILING DATE February 11, 2004	GROUP 2875

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
2.	04005624	01/09/92	Japan (abstract only)				
3.	WO 92/22863	12/23/92	World Intellectual Property organization				
4.	2002365735	12/18/02	Japan (abstract only)				

EXAMINER  
INITIAL

**OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)**

H:\DOCS\KOA\KOA-3501.DOC  
051804

EXAMINER	DATE CONSIDERED
----------	-----------------

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.